## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Date		2. Transaction Date (Month/Day/Ye	Execution Date, Transaction Disposed Of (D) (Instr. 3,				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
		Fable I - No	on-Derivativ	ve Securities Ac	quired, Di	sposed of, or Bene	ficially	Owned				
(City)	(State)	(Zip)										
	0/1	52004-0						Form filed by Mo Person	re than One Re	porting		
(Street) POWAY	СА	92064-6	5817				X	Form filed by On	e Reporting Per	son		
				1. If Amendment, Date	of Original File	ed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
12367 CROS	STHWAITE CIR											
(Last)	(First)	(Middle)		3. Date of Earliest Tran 07/29/2021	saction (Mont	h/Day/Year)	1	Officer (give title below)	Other below	(specify )		
CAGGIA	ANDREW M		2	<u>COHU INC</u> [ CO	)HU ]		X	Director	10% C	Dwner		
1. Name and Address of Reporting Person*				2. Issuer Name <b>and</b> Tic		g Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					

		(Month/Day/rear) 8)				Reported	(I) (Instr. 4)	(Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	07/29/2021		S		5,000 <sup>(1)</sup>	D	<b>\$35.14</b> <sup>(2)</sup>	44 <b>,</b> 864 <sup>(3)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. This transaction was made pursuant to a Rule 10(b)5-1 trading plan adopted by Mr. Caggia on November 25, 2020 (the "Plan").

The shares with respect to this transaction were sold in multiple trades a prices ranging from \$34.38 to \$35.99; the price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a security of the issuer, full information regarding the number of shares sold at each separate price.
Number of shares includes 4,001 Restricted Stock Units (RSUs) and 25,875 Deferred Stock Units (DSUs). Each RSU represents a contingent right to receive one share of Cohu, Inc. Common Stock upon vesting (assuming continued service to the Board). Each DSU is equal to one share of Cohu, Inc. Common Stock and will be settled through the issuance of common stock (i) upon the reporting person's termination of service as a director or (ii) at certain specified dates.

## **Remarks:**

<u>Jeffrey D. Jones, by Power of</u> <u>Attorney</u> 07/30/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\star$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).