## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	dress of Reporting P E JAMES A		2. Issuer Name and Ticker or Trading Symbol <u>COHU INC</u> [ COHU ]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) 12367 CROS	(First) THWAITE CIRC	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/02/2017						Officer (give title below)	Other below	(specify )	
			4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) POWAY	CA	92064							X	Form filed by One	e Reporting Pers	on	
,		92004								Form filed by More than One Reporting Person			
(City)	(State)	(Zip)											
		Table I - No	n-Derivative	Securities Aco	quired	l, Dis	sposed of,	or Ber	eficially	Owned			
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	10/02/2017	М		17,000(1)	Α	\$7.32	423,549	D	
Common Stock	10/02/2017	S		17,000(1)	D	<b>\$23.87</b> <sup>(2)</sup>	406,549 <sup>(3)</sup>	D	
Common Stock	10/02/2017	М		20,500 <sup>(1)</sup>	Α	\$13.77	427,049	D	
Common Stock	10/02/2017	S		20,500 <sup>(1)</sup>	D	<b>\$23.84</b> <sup>(4)</sup>	406,549 <sup>(3)</sup>	D	
Common Stock	10/03/2017	М		37 <b>,</b> 500 <sup>(1)</sup>	Α	\$13.77	444,049	D	
Common Stock	10/03/2017	S		37,500 <sup>(1)</sup>	D	\$23.84 <sup>(5)</sup>	406,549 <sup>(3)</sup>	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, ontions, convertible securities)

	(e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Expiration		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option (Right to Buy)	\$7.32	10/02/2017		М		17,000		03/20/2010 <sup>(6)</sup>	03/20/2019	Common Stock	17,000	\$0.00	0	D	
Employee Stock Option (Right to Buy)	\$13.77	10/02/2017		М		20,500		10/26/2011 <sup>(7)</sup>	10/26/2020	Common Stock	20,500	\$0.00	74,250	D	
Employee Stock	\$13.77	10/03/2017		М		37,500		10/26/2011 <sup>(7)</sup>	10/26/2020	Common Stock	37,500	\$0.00	36,750	D	

## Explanation of Responses:

1. This transaction was made pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 25, 2017 (the "Plan").

The shares with respect to this transaction were sold in multiple trades at prices ranging from \$23.74 to \$23.96; The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
 Number of shares includes 39,711 Restricted Stock Units (RSU) and 70,891 Deferred Stock Units (DSUs) previously reported that in the future will be converted on a one-for-one basis into shares of Cohu, Inc. Common Stock, immediately upon vesting which vesting is scheduled to occur in annual installments (assuming continued service to the Board and achievement of specified performance goals).
 The shares with respect to this transaction were sold in multiple trades at prices ranging from \$23.78 to \$24.00; The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
 The shares with respect to this transaction were sold in multiple trades at prices ranging from \$23.37 to \$24.33; The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
 The shares with respect to this transaction were sold in multiple trades at prices ranging from \$23.37 to \$24.33; The price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a security holder of the issuer, full inform

7. The option vested in four equal installments beginning on October 26, 2011.

Remarks:

<u>Jeffrey D. Jones, by Power of</u> <u>Attorney</u> \*\* Signature of Reporting Person

10/04/2017

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v). \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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