## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Vashington, D.C. 20049

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person <sup>*</sup> CAGGIA ANDREW M				uer Name <b>and</b> Tick	0	Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
CAGGIA	ANDREW M			<u> </u>	1		X	Director	10% C	Dwner		
(Last) 12367 CRO	(First) STHWAITE CIR	(Middle)		e of Earliest Transa 3/2022	action (Month/	Day/Year)	1	Officer (give title below)	Other below	(specify )		
			4. If A	mendment, Date of	Original Filed	I (Month/Day/Year)		/idual or Joint/Grou	p Filing (Check	Applicable		
(Street)							Line)					
POWAY	CA	92064-6817						Form filed by On	e Reporting Per	son		
,								Form filed by Mo Person	re than One Re	porting		
(City)	(State)	(Zip)										
		Table I - Non-D	erivative S	ecurities Acq	uired, Disp	oosed of, or Bene	ficially	Owned				
1. Title of Secu	1. Title of Security (Instr. 3) 2. Transa Date				3. Transaction	4. Securities Acquired ( Disposed Of (D) (Instr. 3		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		

	(Month/Day/Year)	if any	Code (Instr. 5		5)			Beneficially Owned Following Reported		Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	09/23/2022		A		768(1)	Α	\$0.00	47,097 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

			( 0,1	,				· ·				,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispo of (D (Instr	5. Number of Derivative Securities (A) or 0 f (D) (Instr. 3, 4 and 5)		Expiration Date Amount of			amount of Derivative Security (Instr. 5) Derivative Security (		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

#### Explanation of Responses:

1. Grant of Phantom Stock in the form of Deferred Stock Units (DSUs) for payment of Director Fees. Each DSU is equal to one share of Cohu, Inc. Common Stock and will be settled through the issuance of common stock (i) upon reporting person's termination of service as a director or (ii) at certain specified future dates.

2. Number of shares includes 5,091 Restricted Stock Units (RSUs) and 32,006 Deferred Stock Units (DSUs). Each RSU represents a contingent right to receive one share of Cohu, Inc. Common Stock upon vesting (assuming continued service to the Board). Each DSU is equal to one share of Cohu, Inc. Common Stock and will be settled through the issuance of common stock (i) upon the reporting person's termination of service as a director or (ii) at certain specified dates.

### **Remarks:**

# Jeffrey D. Jones, by Power of 09/26/2022

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\*\* Signature of Reporting Person Date

Attorney

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).