SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>CAGGIA ANDREW M</u>			ssuer Name and Tic <u>OHU INC</u> [CC			g Symbol	(Check	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
									X	Director	10% 0	Dwner
(Last)	(First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 05/12/2021						Officer (give title below)	Other below	(specify)
12367 CRUS	THWAITE CIR											
(Street)			4. I	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Indiv Line)	. Individual or Joint/Group Filing (Check Applicable ine)			
POWAY	CA	92064-	6817							Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								reison		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	Amount (A) or (D) Price		Price	Transaction(s) (Instr. 3 and 4)			
Common Stock 05/12/2		05/12/2021		S		4,850 ⁽¹⁾	D	\$35.28 ⁽²⁾	49,864 ⁽³⁾	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		n of		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction was made pursuant to a Rule 10(b)5-1 trading plan adopted by Mr. Caggia on November 25, 2020 (the "Plan").

The shares with respect to this transaction were sold in multiple trades a prices ranging from \$34.86 to \$35.61; the price reported above reflects the weighted average price. The reporting person hereby undertakes to provide upon request by the Securities and Exchange Commission staff, the issuer, or a security of the issuer, full information regarding the number of shares sold at each separate price.
Number of shares includes 4,001 Restricted Stock Units (RSUs) and 25,875 Deferred Stock Units (DSUs). Each RSU represents a contingent right to receive one share of Cohu, Inc. Common Stock upon vesting (assuming continued service to the Board). Each DSU is equal to one share of Cohu, Inc. Common Stock and will be settled through the issuance of common stock (i) upon the reporting person's termination of service as a director or (ii) at certain specified dates.

Remarks:

Jeffrey D. Jones, by Power of Attorney 05/13/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.